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Docket No.: E3331.0516
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Vladimir Neyman et al.

Application No.: 09/897,594

Art Unit: 2164

Filed: June 29, 2001

Examiner: Not Yet Assigned

For: COMPOUND ORDER HANDLING IN AN
ANONYMOUS TRADING SYSTEM

SECOND PRELIMINARY AMENDMENT

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop Amendment
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Dear Sir:

INTRODUCTORY COMMENTS

Prior to issuance of an Office Action, please amend the above-identified application as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 12 of this paper.